

CONFIDENTIAL — INTERVIEW INFORMATION STATEMENT

FILE NUMBER — DRE USE ONLY

RE 515 (Rev. 4/12)

- Complete all information requested.
- Read and sign certification on page 5.
- Submit your completed Information Statement to the Special Investigator assigned to your case.
- Your completed Information Statement must be received by the Department before an interview will be scheduled in connection with your petition/license application.

NAME OF LICENSEE/APPLICANT		DATE	
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CALIFORNIA DRIVER'S LICENSE NUMBER	EXPIRATION DATE	CALIFORNIA IDENTIFICATION CARD #	EXPIRATION DATE
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US PASSPORT NUMBER

ADDRESS (STREET ADDRESS, CITY AND ZIP CODE)

TYPE OF LICENSE HELD OR APPLIED FOR		
<input type="checkbox"/> Sales <input type="checkbox"/> Broker <input type="checkbox"/> Officer <input type="checkbox"/> Conditional Sales <input type="checkbox"/> Mortgage Loan Originator (MLO) Endorsement		

IF LICENSED, ENTER REAL ESTATE LICENSE NUMBER	IF MLO, ENTER NMLS UNIQUE IDENTIFIER(S)	BUSINESS TELEPHONE NUMBER (INCLUDE AREA CODE)
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RESIDENCE TELEPHONE NUMBER (INCLUDE AREA CODE)	CELL PHONE NUMBER (INCLUDE AREA CODE)	EMAIL ADDRESS
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LIST CITIES OF RESIDENCE DURING THE LAST FIVE YEARS					
<i>From</i>	<i>To</i>	<i>City</i>	<i>From</i>	<i>To</i>	<i>City</i>

EMPLOYMENT - LIST ALL JOBS HELD DURING THE LAST FIVE YEARS BEGINNING WITH THE MOST RECENT. IF YOU HAVE BEEN DISMISSED, DISCHARGED OR FORCED TO RESIGN BY EMPLOYER, GIVE DETAILS BELOW.

<i>From</i>	<i>To</i>	<i>Employer Name and Address</i>	<i>Employer Phone</i>	<i>Position</i>

Explanation

EDUCATION - MARK THE HIGHEST LEVEL OF EDUCATION COMPLETED. LIST ANY DEGREES, SPECIAL EDUCATION OR TRAINING COURSES COMPLETED OTHER THAN PRIVATE REAL ESTATE SCHOOL GIVING EXAMINATION PREPARATION WORK.

<input type="checkbox"/> High School Graduate or Equivalent <input type="checkbox"/> College Graduate <input type="checkbox"/> Graduate School <input type="checkbox"/> Other (Explain)

FAMILY - CHECK THE APPROPRIATE BOX(ES) BELOW

☐ Married ☐ Divorced ☐ Married More Than Once ☐ Separated ☐ Single ☐ Domestic Partner

DO YOU HAVE CHILDREN?

☐ Yes ☐ No If Yes, list how many and their ages.

COMMUNITY GROUPS - ARE YOU ACTIVE IN SOCIAL, CIVIC, OR COMMUNITY GROUPS?

☐ Yes ☐ No If Yes, describe the group and your extent of activity.

PROPERTY OWNED - CHECK THE APPROPRIATE BOX(ES) BELOW

☐ Own home ☐ Own other real property (describe below) ☐ Own car ☐ Own other property of substantial value (describe below)

PAST DUE DEBTS - DO YOU HAVE ANY PAST DUE DEBTS, LIENS, JUDGMENTS, OUTSTANDING JUDGEMENTS SETTLED, OR BANKRUPTCY ACTION PENDING?

☐ Yes ☐ No If Yes, explain below in detail or on an attachment if necessary.

CIVIL COURT - HAVE YOU OR ANY ENTITY IN WHICH YOU HAD OWNERSHIP, HELD AN OFFICER TITLE, OR EXERCISED CONTROL EVER BEEN A DEFENDANT IN A CIVIL COURT ACTION, INCLUDING SMALL CLAIMS ACTIONS?

☐ Yes ☐ No If Yes, list the court name, date, and a brief description of the lawsuit.

<i>Name of Court</i>	<i>Date</i>	<i>Docket/Case #</i>	<i>Brief Description of Suit</i>

DID ANY OF THE ABOVE CASES RESULT IN A JUDGMENT FOR FRAUD, MISREPRESENTATION, DISHONESTY, DISHONEST DEALING, AND/OR MISHANDLING OF TRUST FUNDS?

☐ Yes ☐ No

LICENSE/REGISTRATION - DO YOU HOLD OR HAVE YOU EVER HELD ANY KIND OF PROFESSIONAL LICENSE, CERTIFICATE, CREDENTIAL, OR REGISTRATION ("LICENSE") IN THIS STATE OR ANY OTHER STATE?

☐ Yes ☐ No If Yes, complete below.

<i>Name of State</i>	<i>License Type</i>	<i>ID Number</i>	<i>Date Issued</i>	<i>Current Status</i>

LICENSE/REGISTRATION DISCIPLINE - HAVE YOU EVER SUFFERED PROFESSIONAL LICENSE/REGISTRATION DISCIPLINE IN THIS STATE OR ANY OTHER STATE?

☐ Yes ☐ No If Yes, complete below.

<i>Name of State</i>	<i>License Type</i>	<i>ID#</i>	<i>File #</i>	<i>Eff. Date</i>	<i>Cause</i>	<i>Current Status</i>

Explanation

BONDS - HAVE YOU EVER BEEN BONDED?

☐ Yes ☐ No If Yes, list the position, coverages, amount of bond, individual or blanket bond and if any claims ever made.

SUBSTANCE ABUSE - DOES YOUR RECORD INDICATE A SUBSTANCE ABUSE (E.G. ALCOHOL) PROBLEM?

Substance Abuse Problem: ☐ Yes ☐ No

If Yes, state any particular reason for it. If treatment has been obtained, list who, what, where, and how long. If you are now or have ever been a member of AA, state how long and how active, etc.

MENTAL PROBLEMS - HAVE YOU EVER BEEN A PATIENT OR INMATE OF A DEVELOPMENTAL OR MENTAL HOSPITAL, EVER BEEN DECLARED OR DIAGNOSED AS MENTALLY ILL, OR ADJUDGED WARD OF A COURT, OR TO BE INSANE, INCOMPETENT, OR A DIPSOMANIAC?

☐ Yes ☐ No If Yes, explain below.

CRIMINAL CONVICTIONS AND/OR PENDING ACTIONS SUMMARY — Please summarize each and every pending criminal action and/or conviction you have suffered, providing the information requested below. You must then complete a Conviction Detail Report (RE 515D) for each conviction listed in this summary.

Item No.	Date & Place of Arrest	Arresting Agency (Police, Sheriff, etc.)	Charge	Court Name & Location	Disposition & Date	Was disclosure made on RE license applica- tion?

STIPULATION AND WAIVER

In the event the Department of Real Estate offers you the opportunity to accept a Stipulation and Waiver to a restricted Department of Real Estate license, are you willing to waive your right to a hearing and stipulate to all charges in exchange for a restricted real estate license? ☐ Yes ☐ No

Note: You should be aware that a restricted license can be suspended without a hearing in the event you are convicted of an actionable crime or violate any of the laws regulated by the Department of Real Estate. In addition, if you are a restricted salesperson, your broker must acknowledge that he or she is aware of your restriction and will closely supervise your licensed activities. Your agreement to enter into a Stipulation and Waiver does not guarantee you will receive a restricted license, as the Commissioner will ultimately decide whether such a license will be issued.

APPLICANT/LICENSEE REMARKS

APPLICANT/LICENSEE CERTIFICATION

The foregoing record of my pending criminal actions and convictions has been read by me and said record is true and correct. I hereby admit the truth of all facts and statements contained herein, and consent that this record may be introduced by the Department of Real Estate as proof of said pending criminal actions and convictions, and any other facts hereinabove set forth, at any hearing held in connection with my application, or other agency hearing.

SIGNATURE OF APPLICANT/LICENSEE

DATE SIGNED



PRIVACY NOTICE

Section 1798.17 of the Civil Code requires this notice be provided when collecting personal or confidential information from individuals.

Department of Real Estate
Asst. Commissioner - Enforcement
Enforcement Division
2201 Broadway, Sacramento CA 95818
Telephone: (916) 227-0761

Article 3 of Chapter 3 (commencing with Section 10175), Article 4, Chapter 7 (commencing with Section 10560 of the Business and Professions Code) and Sections 14540 & 14740 et seq. of the Government Code authorizes the maintenance of this information.

All items in this form are voluntary.

Failure to submit all of the information requested on the enclosed form will impede the ability of the Commissioner to fully evaluate whether you meet the criteria of rehabilitation established pursuant to regulations of the Commissioner and the license sought may be denied or if already licensed, formal disciplinary action imposed. The lack of a satisfactory explanation as to the reason for not revealing a criminal conviction on

your license application or petition may result in a recommendation for a formal hearing to deny the license sought or to deny the petition for reinstatement or removal of restrictions.

The information requested in this form is primarily used for the purpose of aiding the Commissioner in evaluating the fitness of a licensee, petitioner, or license applicant with respect to the licensing matter at hand and to give an applicant, licensee, or petitioner an opportunity to explain his/her failure to disclose one or more criminal convictions on the license application or petition. The information obtained may be used as admission of the facts stated in an administrative hearing brought to deny issuance of such license or to deny a petition.

This information may be released as evidence at a hearing before an Administrative Law Judge of the Office of Administrative Hearings or to other governmental entities including Law Enforcement Agencies.

Each individual has the right to review personal information maintained by this agency, unless access is exempted by law.